

**COPY**

Today's Date: February 5, 2002

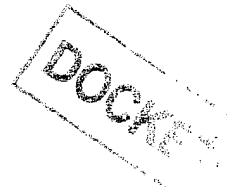
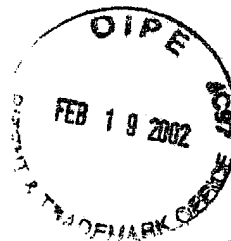
The Patent and Trademark Office stamping  
sets forth and receipt date  
(or both the receipt date and the Serial Number)  
of documents identified as follows:

Applicant: Carr et al.

Serial No.: 09/428,692

Filing Date: October 28, 1999

Title: NOVEL CHIMERIC ANALGESIC PEPTIDES



*MB*

- 1.) Transmittal Letter (2 pages);
- 2.) Revocation of Previous Powers of Attorney and Appointments of Attorney Under 37 C.F.R. §3.71 (2 pages);
- 3.) Statement Establishing Right of Assignee to Take Action (2 pages);
- 4.) Copy of Assignment and Cover sheet submitted to the PTO on January 4, 2001 (3 pages);
- 5.) Written Assertion of Small Entity Status under 37 CFR 1.27(a)(3) (1 page); and
- 6.) Return Postcard.

Attorney: BHJ      Attorney Docket No. 2004117-0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Carr et al. Examiner: Landsman, R.  
Serial No.: 09/428,692 Art Unit: 1647  
Filing Date: October 28, 1999  
Title: NOVEL CHIMERIC ANALGESIC PEPTIDES

Assistant Commissioner for Patents  
Washington, DC 20231

**COPY**

Sir:

WRITTEN ASSERTION OF SMALL ENTITY STATUS  
UNDER 37 CFR §1.27(a)(3)  
NON-PROFIT ORGANIZATION

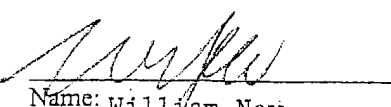
The undersigned hereby asserts entitlement to small entity status as a non-profit organization under 37 CFR §1.27(a)(3) on behalf of the New England Medical Center.

This assertion is made on the ground that New England Medical Center is either:

- (a) a university or other institution of higher education located in any country;
- (b) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 USC §501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 USC §501(a));
- (c) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 USC §201(i)); or
- (d) any nonprofit organization, located in a foreign country, that would qualify as a nonprofit organization under paragraphs (b) or (c) above if it were located in this country,

and *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27.

Respectfully Submitted,

  
Name: William New  
Title: Vice President, Research Administration  
Date: 1/4/02

CHOATE, HALL & STEWART  
53 State Street  
Exchange Place  
Boston, MA 02109  
Phone: (617) 248-5000  
Fax: (617) 248-4000

ATTORNEY DOCKET NO. 2004117-0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Carr et al. Examiner: Landsman, R.  
Serial No.: 09/428,692 Art Unit: 1647  
Filing Date: October 28, 1999  
Title: NOVEL CHIMERIC ANALGESIC PEPTIDES

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

**COPY**

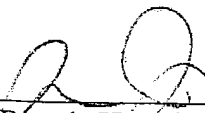
TRANSMITTAL LETTER

Enclosed are the following documents:

1. Revocation of Previous Powers of Attorney and Appointments of Attorney Under 37 C.F.R. §3.71 (2 pages);
2. Statement Establishing Right of Assignee to Take Action (2 pages);
3. Copy of Assignment and Cover sheet submitted to the PTO on January 4, 2001 (3 pages);
4. Written Assertion of Small Entity Status under 37 CFR 1.27(a)(3) (1 page); and
5. Return Postcard.

If any additional fees are required to be paid or if any overpayment has been made, please charge same to Deposit Account No. 03-1721.

Respectfully submitted,

  
Brenda Herschbach Jarrell, Ph.D.  
Reg. No. 39,233

Choate, Hall & Stewart  
Exchange Place  
53 State Street  
Boston, MA 02109  
(617) 248-5000  
Dated: 2/5/2002



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
payable to Commissioner of Patents & Trademarks.

COPY

Account No.	031721
Date	3-29-02
Page	2

CHOATE HALL & STEWART  
VICTORIA CLIFFORD  
EXCHANGE PLACE  
53 STATE STREET  
BOSTON MA 02109

FINA

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS		BALANCE
MO.	DAY	YR.							
3	19	02	4	09156457	0492611-0328	104 -	801	280.00	16931.13
3	19	02	11	09978635	2003428-0002	205 -	801	65.00	16866.13
3	19	02	175	09456784	2002635-0008	561 -	830	30.00	16836.13
3	19	02	713	PCT/US01/25759	0263421-0023	803 -	807	9.00	16827.13
3	20	02	3	09943944	0342941-0065	202 -	801	-200.00	17027.13
3	20	02	3	09428692	2004117-0002	103 -	801	3420.00	13607.13
3	20	02	343	PCT/US01/25628	2002906-0003	803 -	807	9.00	13598.13
3	21	02	5	PCT/US02/02512	0492612-0207	899 -	806	96.00	13502.13
3	22	02	1	09362612	0646731-0006	103 -	801	36.00	13466.13
3	22	02	1	09037193	2001605-0003	217 -	803	460.00	13006.13
3	22	02	26	10100303	2002834-0166	101 -	801	740.00	12266.13
3	22	02	27	10100303	2002834-0166	103 -	801	576.00	11690.13
3	22	02	28	10100303	2002834-0166	104 -	801	280.00	11410.13
3	22	02	120	10101030	0342941-0071	202 -	801	84.00	11326.13
3	22	02	121	10101030	0342941-0071	203 -	801	333.00	10993.13
3	22	02	122	10101030	0342941-0071	204 -	801	140.00	10853.13
3	25	02	18	10102056	0342941-0073	203 -	801	180.00	10673.13
3	25	02	19	10102056	0342941-0073	202 -	801	378.00	10295.13
3	27	02	1	09659926	2002645-0003	115 -	803	110.00	10185.13
3	27	02	5	09478263	0342941-0043	218 -	803	720.00	9465.13
3	27	02	345	PCT/US02/08058	0550862-0015	150 -	806	240.00	9225.13
3	27	02	346	PCT/US02/08058	0550862-0015	802 -	806	866.00	8359.13
3	27	02	347	PCT/US02/08058	0550862-0015	800 -	806	407.00	7952.13
3	27	02	348	PCT/US02/08058	0550862-0015	566 -	830	30.00	7922.13
3	27	02	722	PCT/US02/08546	0342941-0072	566 -	830	47.00	7875.13
3	28	02	1	09054211	2000702-0002	218 -	803	720.00	7155.13
3	28	02	2	PCT/US02/03603	2003702-0003	704 -	806	-7.00	7162.13
3	29	02	49	PCT/US01/26577	2003080-0084	191 -	807	750.00	6412.13
3	29	02	50	PCT/US01/26577	2003080-0084	803 -	807	146.00	6266.13
3	29	02	67	10106986	0550862-0015	201 -	801	370.00	5896.13
3	29	02	68	10106986	0550862-0015	204 -	801	140.00	5756.13
3	29	02	239	76387499	2004229-0039	361 -	802	325.00	5431.13
3	29	02	455	PCT/US02/08336	2002630-0016	150 -	806	240.00	5191.13
3	29	02	456	PCT/US02/08336	2002630-0016	802 -	806	866.00	4325.13
3	29	02	457	PCT/US02/08336	2002630-0016	800 -	806	407.00	3918.13
3	29	02	458	PCT/US02/08336	2002630-0016	801 -	806	1134.00	2784.13

IF AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
FIRST ALWAYS RE ON DEPOSIT

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE